

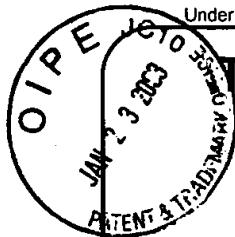
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

Application Number 10/010,667

Filing Date 6 December 2001

First Named Inventor Daniel E. H. AFAR, et al.

Group Art Unit 1642

Examiner Name To be assigned

6

Attorney Docket No. 511582001621

| ENCLOSURES (check all that apply)   |   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form                                       | <input type="checkbox"/> Assignment Papers<br>(for an Application)                      | <input type="checkbox"/> After Allowance Communication to Group                            |  |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |  |
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| <input type="checkbox"/> Affidavits/declarations                                    | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |  |
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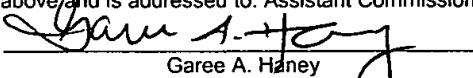
|                            |   |
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| Firm or<br>Individual Name | Timothy J. Lithgow<br>Registration No. 36,856                                       |
| Signature                  |  |
| Date                       | January 23, 2003  |

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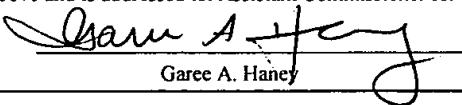


PATENT  
Docket No. 511582001621

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Garee A. Haney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

DANIEL E. H. AFAR, *et al.*

Serial No.: 10/010,667

Filing Date: 6 December 2001

For: PEPTIDES DERIVED FROM STEAP1  
(AS AMENDED)

Examiner: To be assigned

Group Art Unit: 1642

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith on CD-R due to their length. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

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This Information Disclosure Statement is submitted:

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - A fee is required. A check in the amount of \* is enclosed.
  - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 511582001621. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 23, 2003

Respectfully submitted,

By:   
Timothy J. Lithgow (50/28)  
Registration No. 36,856  
Patent Counsel for Agensys, Inc.

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